

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2	0	1

YYYY	MM	DD
8	0	5

 to 

YYYY	MM	DD
2	0	1

YYYY	MM	DD
8	1	2

YYYY	MM	DD
1	2	3

YYYY	MM	DD
1	2	3

- Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

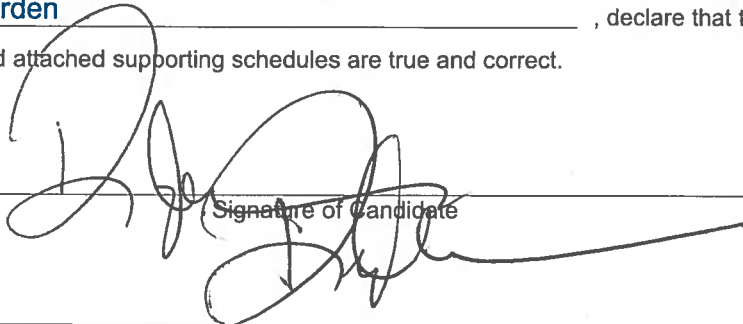
**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot	
Last Name or Single Name <b>Warden</b>	Given Name(s) <b>Randy</b>
Office for which the candidate sought election <b>Councillor</b>	Ward name or no. (if any) <b>Ward 5</b>
Municipality <b>London, Ontario</b>	
Spending Limit - General <b>\$ 22,413.95</b>	Spending Limit - Parties and Other Expressions of Appreciation <b>\$ 2,241.40</b>

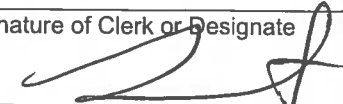
I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, **Randy Warden**, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

  
 \_\_\_\_\_  
 Signature of Candidate

2019/03/19  
 \_\_\_\_\_  
 Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) <b>2019/03/19</b>	Time Filed <b>3:33 pm</b>	Initial of Candidate or Agent (if filed in person) <b>RD</b>	Signature of Clerk or Designate 
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Jeannie Raycroft, a Commissioner for  
**taking Affidavits and Oaths, Middlesex County,**  
 while a deputized Clerk of The Corporation  
 of the City of London.



**Expenses not subject to spending limits**

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	<u>21.86</u>
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	<u>904.00</u>
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b><u>925.86</u> C4</b>

**Total Campaign Expenses (C2 + C3 + C4)** = \$ **22,759.49 C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	<u>-4,172.49</u> D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$	D2
<b>Total (D1 – D2)</b>	<b>= \$</b>	<b><u>-4,172.49</u></b>
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	
Surplus (or deficit) for the campaign	<b>= \$</b>	<b><u>-4,172.49</u> D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.



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Additional information is listed on separate supplementary attachment

**Total**









Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
4' x 8' Street Sign			5	200.00
32" x 48" Lawn Sign			49	686.00
18" x 24" Lawn Sign			350	876.00
			<b>Total</b>	<b>1,762.00</b>

Additional information is listed on separate supplementary attachment

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket revenue**

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	<b>2A</b>	
Number of tickets sold	X	<b>2B</b>	
<b>Total Part I (2A X 2B) (include in Part 1 of Schedule 1)</b>			<b>= \$ 0</b>

**Part II – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+	\$	
2. _____	+	\$	
3. _____	+	\$	
4. _____	+	\$	
5. _____	+	\$	
<b>Total Part II (include in Part 1 of Schedule 1)</b>			<b>= \$</b>

**Part III – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+	\$	
2. _____	+	\$	
3. _____	+	\$	
4. _____	+	\$	
5. _____	+	\$	
<b>Total Part III (include under Income in Box C)</b>			<b>= \$</b>

**Part IV – Expenses related to fundraising event or activity**

Provide details

1. _____	+	\$	
2. _____	+	\$	
3. _____	+	\$	
4. _____	+	\$	
5. _____	+	\$	
6. _____	+	\$	
7. _____	+	\$	
8. _____	+	\$	
<b>Total Part IV Expenses (include under Expenses in Box C)</b>			<b>= \$</b>

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, LPA

Municipality

London

Date (yyyy/mm/dd)

MAR 13 2019

**Contact Information**

Last Name or Single Name

Zwinkels

Given Name(s)

Rene

Licence Number

1-16769

Address

Suite/Unit No.

230

Street No.

633

Street Name

Colborne Street

Municipality

London

Province

ON

Postal Code

N6B 2V3

Telephone No. (including area code)

519 432-5534

Email Address

rzwinkels@bdo.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

**Table 1: Monetary contributions from individuals other than candidate or spouse.**  
(Supplementary attachment)

Name	Full Address	Date Received	Amount Received	Amount Returned
Carol Keep	1226 Langmuir Avenue, London ON, N5W 2E8	2018/05/19	200.00	-
Jocelyn Holder	1009 Blythwood Road, London ON, N6H 5W2	2018/05/31	200.00	-
Jocelyn Holder	1009 Blythwood Road, London ON, N6H 5W2	2018/06/09	1,000.00	-
Keith Roden	2-30 Grand Ave, London ON, N6C 1K8	2018/07/12	200.00	-
Karen Woods	18 Debbie Lane, London ON, N5X 3H2	2018/07/12	175.00	-
Michael Hoare	406-240 Village Walk Blvd, London ON, N6G 0P6	2018/07/10	200.00	-
Roy Warden	190 Cherryhill Cir, London ON, N6H 2M5	2018/05/19	1,200.00	-
Cynthia Winstanley	30 Brock St, Thorold ON, L2V 5B7	2018/07/16	250.00	-
Ryan Gauss	53 Queen Mary Cres, London ON, N6H 4B5	2018/07/17	200.00	-
Nancy Poole	107-250 Sydenham St, London ON, N6A 5S1	2018/07/20	200.00	-
Carole Valliere	601 Grenfell Dr Unit 52, London ON, N5X 4E5	2018/07/23	200.00	-
Murray Faulkner	49 Sir Robert Pl, Arva ON, N0M 1C0	2018/07/24	250.00	-
Chuck Hardy	31 Caprice Cres, London ON, N5V 3L9	2018/07/17	250.00	-
Ann Marie Patrick	1890 Richmond St, London ON, N5X 4J1	2018/07/26	200.00	-
Victor Anber	497 Elm Ave, Windsor ON, N9A 5H2	2018/08/09	500.00	-
Arthur Harris	37 Green Acres Dr, London ON, N6G 2S4	2018/09/13	200.00	-
Marsha Haggarty	20 Glenview Cres, London ON, N5X 2P9	2018/09/21	400.00	-
Heidi Bailey	2301-500 Ridout St N, London ON, N6A 0A2	2018/09/28	1,000.00	-
Ismael Omar	2143 Callingham Dr, London ON, N6G 0M2	2018/10/10	250.00	-
John White	PO Box 56, Arva ON, N0M 1C0	2018/10/11	400.00	-
Christopher Craigan	230 Ridgewood Cres, London ON, N6J 3G6	2018/09/10	400.00	-
Antonio Memme	100 Jaimie Rd, Thornhill ON, L4J 6A6	2018/10/09	450.00	-
Julie McDiarmid	4388 Lakeshore Road, Burlington ON, L7L 1B2	2018/09/25	518.00	-
Tyler McDiarmid	4388 Lakeshore Road, Burlington ON, L7L 1B2	2018/09/25	518.00	-
Patricia Fisher	1579 Westdel Bourne, London ON, N6K 4R1	2018/10/16	150.00	-
Tarek Mansour	904 Cutross Ave, London ON, N6G 5P1	2018/10/11	150.00	-
Michael Johnston	6629 Egrem RR 3, Komoka ON, N0L 1R0	2018/10/11	200.00	-
	<b>Total</b>		<b>9,861.00</b>	



## INDEPENDENT AUDITOR'S REPORT

To Municipal Clerk of the Town of London Ontario

### Qualified Opinion

We have audited the Financial Statement – Auditor's Report Form 4 (the Financial Statement) of Randy Warden (the Candidate), which comprises the Statement of Campaign Period Income and Expenses and Statement of Determination of Surplus or Deficit and Disposition of Surplus for the period from May 1, 2018 to December 31, 2018 (relating to the election held on October 22, 2018), and other explanatory information.

In our opinion, except for the possible effects of the matter described in the *Basis for Qualified Opinion* paragraph, the accompanying Financial Statement of the Candidate for the period from May 1, 2018 to December 31, 2018 (relating to the election held on October 22, 2018) is prepared, in all material respects, in accordance with Section 88 of the Municipal Elections Act, 1996 (the Act).

### Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, the completeness of donations of goods and services and receipts and disbursements is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the accounting records of the Candidate. Therefore we were not able to determine whether any adjustments might be necessary to income and expenses and surplus / deficit.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statement* section of our report. We are independent of the Candidate in accordance with the ethical requirements that are relevant to our audit of the Financial Statement in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified opinion.

### Emphasis of Matter – Basis of Accounting and Restriction on Distribution and Use

The Financial Statement is prepared to assist the Candidate to meet the requirements of the Act referred to above. As a result, the Financial Statement may not be suitable for another purpose. Our report is intended solely for the Candidate and Municipal Clerk of the Town of London Ontario and should not be distributed to or used by parties other than the Candidate and Municipal Clerk of the Town of London Ontario. Our opinion is not modified in this manner.

### Responsibilities of the Candidate for the Financial Statement

The Candidate is responsible for the preparation of the Financial Statement in accordance with the Act, and for such internal control as the Candidate determines is necessary to enable the preparation of the Financial Statement that is free from material misstatement, whether due to fraud or error.

### Auditor's Responsibilities for the Audit of the Financial Statement

Our objectives are to obtain reasonable assurance about whether the Financial Statement as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of the Financial Statement.

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the Financial Statement, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the Candidate.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

London, Canada  
March 13, 2019

*BDO Canada LLP*

Chartered Professional Accountants  
Licensed Public Accountants